

# PHPE 308M/PHIL 209F

## Fairness

Eric Pacuit, University of Maryland

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## Fair Representation Act

Recently (March 20), Rep. Don Beyer (Va.) and a half-dozen of his fellow Democratic lawmakers presented the latest version of the **Fair Representation Act:**

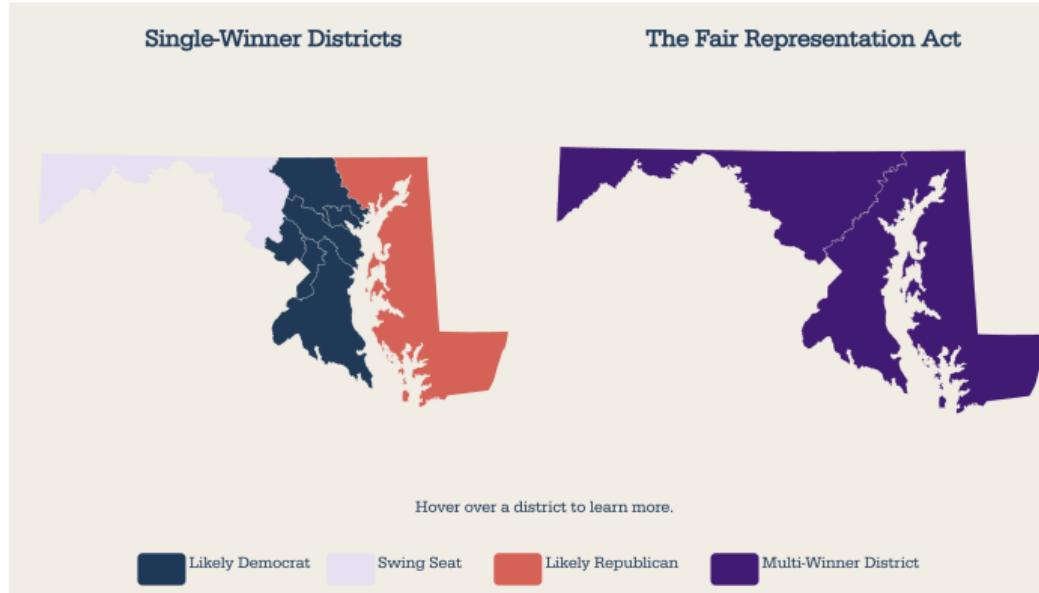
# Fair Representation Act

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The bill requires (1) that ranked choice voting be used for all elections for Senators and Members of the House of Representatives, (2) that states entitled to six or more Representatives establish districts such that three to five Representatives are elected from each district, and (3) that states entitled to fewer than six Representatives elect all Representatives on an at-large basis.

<https://fairvote.org/our-reforms/fair-representation-act/>

# Fair Representation Act in Maryland



<https://fairvote.org/the-fair-representation-act-in-maryland/>

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Is this a good idea?

# Multiwinner Voting

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A multiwinner voting rule is a method to elect (exactly)  $k$  winners from  $n$  candidates (using a tie-breaking method).

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- ▶ An online retailer needs to pick  $k$  out of  $m$  products to display on the company's front page, given (likely) customer preferences.
- ▶ In a national election,  $k$  out of  $m$  candidates running need to be chosen to form the new parliament, based on voter preferences.

## Multiwinner Elections II

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3. **Proportional representation.** Try to find a committee of say,  $k$  representatives, each associated with a constituency of roughly  $1/k$  the size of the total voting population. If two candidates are clones of each other and one is on the committee, then whether the other should be on the committee depends on whether this is necessary for proportionality.

## Multiwinner Ballots

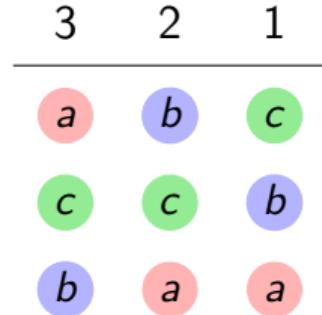
1. Approval ballots: Voters submit a *set* of candidates.
2. Ranked ballots: Voters submit a *ranking* of the candidates.

# Ranked Ballots

$v_1$	$v_2$	$v_3$
		
		
		

- ▶ Voter  $v_1$  ranks  above  above 
- ▶ Voter  $v_2$  ranks  above  above 
- ▶ Voter  $v_3$  ranks  above  above 

# Ranked Ballots



- ▶ 3 voters rank above above
- ▶ 2 voters rank above above
- ▶ 1 voter ranks above above

# Approval Ballots

$v_1$ :  $\{ \textcolor{red}{a}, \textcolor{green}{c} \}$

$v_2$ :  $\{ \textcolor{blue}{b} \}$

$v_3$ :  $\{ \textcolor{red}{a}, \textcolor{blue}{b}, \textcolor{green}{c} \}$

- ▶  $v_1$  approves of  $\textcolor{red}{a}$  and  $\textcolor{green}{c}$
- ▶  $v_2$  approves of  $\textcolor{blue}{b}$
- ▶  $v_3$  approves of  $\textcolor{red}{a}$ ,  $\textcolor{blue}{b}$ , and  $\textcolor{green}{c}$

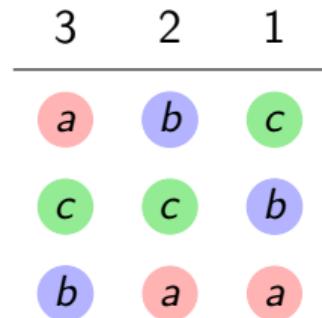
## Examples based on Plurality

- ▶ **Single Nontransferable Vote (SNTV) or  $k$ -plurality:** elect those  $k$ -element committees obtained by adding to the committee all those with highest plurality score, then all those with second highest plurality score, etc.  
It has been considered in the *satisfaction of diverse preferences* context.

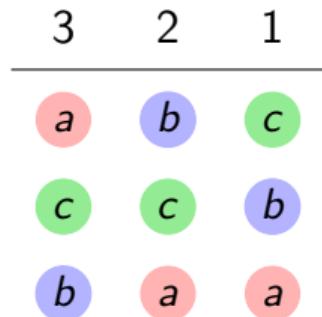
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It has been considered in the *satisfaction of diverse preferences* context.
- ▶ **Sequential Plurality:** elect those  $k$ -element committees obtained by adding to the committee the plurality winners, then removing those winners from the profile, then adding to the committee the plurality winners from the reduced profile, etc.  
It has been considered in the *excellence* context.

Suppose we want to use the plurality rule to elect  $k = 2$  winners:

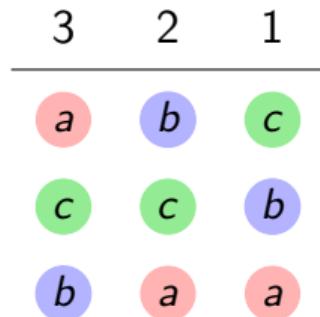


Suppose we want to use the plurality rule to elect  $k = 2$  winners:



- ▶ Single Nontransferable Vote: gets 3, gets 2, gets 1. So  $\{ \textcolor{red}{a}, \textcolor{blue}{b} \}$  wins.

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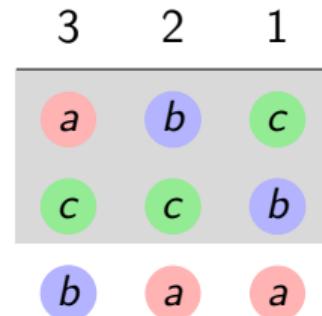
- ▶ Single Nontransferable Vote: gets 3, gets 2, gets 1. So  $\{\textcolor{red}{a}, \textcolor{blue}{b}\}$  wins.
- ▶ Sequential Plurality: wins first round, then . So  $\{\textcolor{red}{a}, \textcolor{green}{c}\}$  wins.

## Bloc Voting

To elect a committee of size  $k$ : Each voter assigns a number of points to a committee equal to the number of candidates in the committee in the top  $k$  positions of the voters' rankings. The committees with the most points win.

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According to Bloc voting, for a committee of size 2, both  $\{ \textcolor{red}{a}, \textcolor{green}{c} \}$  and  $\{ \textcolor{blue}{b}, \textcolor{green}{c} \}$  are tied for the win.

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Problem: Suppose that  $k = 3$  and that:

- ▶ 51% approve  $\{a, b, c\}$  and
- ▶ 49% approve  $\{d\}$ .

Then AV elects  $\{a, b, c\}$ , even though the 49% 'deserve'  $d$ .

# Proportionality

**Proportional Approval Voting (PAV).** Each voter's approval ballot  $A$  assigns points to a committee  $C$  based on how many approved candidates are elected:

- ▶ 1 approved candidate in  $C$ : 1 point
- ▶ 2 approved candidates in  $C$ :  $1 + \frac{1}{2}$  points
- ▶ 3 approved candidates in  $C$ :  $1 + \frac{1}{2} + \frac{1}{3}$  points
- ▶ and so on.

In general, the ballot  $A$  assigns  $C$  the following points:  $\sum_{i=1}^{|A \cap C|} \frac{1}{i}$ . The committee(s) with the most points win.

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(Similarly for  $\{a, c, d\}$  and  $\{b, c, d\}$ ).

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(Similarly for  $\{a, c, d\}$  and  $\{b, c, d\}$ ).

So,  $\{a, b, d\}$ ,  $\{a, c, d\}$  and  $\{b, c, d\}$  are tied for the PAV winners.

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STV is not a specific voting method, but rather a family of voting methods that share certain principles:

1. Every voter should be allowed to allocate all of his vote to the candidate of his choice.
2. If a candidate has more than enough votes to be elected, then surplus votes should be transferred to the next available candidates in the rankings of those who voted for the candidate with the surplus.
3. If the candidate to whom a vote is presently allocated is excluded, then that vote should be transferred to the next available candidate in that voter's ranking.

Nicolaus Tideman and Daniel Richardson (2000). *Better voting methods through technology: The refinement-manageability trade-off in the single transferable vote*. Public Choice, 103, pp. 13 - 34.

## Single Transferrable Vote (STV)

STV is a multistage elimination rule that works as follows. Suppose that there are  $n$  candidates and  $k$  candidates to elect. If there is a candidate  $c$  whose Plurality score is at least  $q = \lfloor \frac{n}{k+1} \rfloor + 1$  (the so-called Droop quota), we do the following:

- (a) include  $c$  in the winning committee,
- (b) delete  $q$  votes where  $c$  is ranked first, and
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If each candidate's Plurality score is less than  $q$ , a candidate with the lowest Plurality score is deleted from all votes.

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The different STV methods vary primarily in how much of which surplus votes are transferred and in the meanings that are attached to “enough votes to be elected” and “the next available candidate”.

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- ▶ When just one candidate is elected, a candidate needs barely more than half the votes to be assured election.
- ▶ If two candidates are to be elected, then any candidate with more than a third of the votes ought to be assured election on the basis that there can be at most one other candidate (who can be given the other position) who will receive as many votes.

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- ▶ If two candidates are to be elected, then any candidate with more than a third of the votes ought to be assured election on the basis that there can be at most one other candidate (who can be given the other position) who will receive as many votes.
- ▶ In general, if there are  $k$  positions to be filled, then there can be at most  $k$  candidates who have more than  $n/(k + 1)$  votes.

## Hare Quota

The **Hare quota** is  $n/k$  where  $n$  is the number of voters and  $k$  is the number of seats to be filled.

## Example: STV (Hare Quota)

Suppose that there are 48 voters and  $k = 3$ . Using the Hare quota, a candidate needs at least  $q = 48/3 = 16$  votes to be elected.

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<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>
<i>b</i>	<i>a</i>	<i>d</i>	<i>c</i>
<i>c</i>	<i>d</i>	<i>a</i>	<i>b</i>
<i>d</i>	<i>c</i>	<i>b</i>	<i>a</i>

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<i>b</i>	<i>a</i>	<i>d</i>	<i>c</i>
<i>c</i>	<i>d</i>	<i>a</i>	<i>b</i>
<i>d</i>	<i>c</i>	<i>b</i>	<i>a</i>

Then, *a* will be elected, but none of the votes will be transferred to *b* since there is no surplus. *b* will be removed, and *c* and *d* will be elected.

So the candidates elected will be  $\{a, c, d\}$ .

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<i>b</i>	<i>a</i>	<i>d</i>	<i>c</i>
<i>c</i>	<i>d</i>	<i>a</i>	<i>b</i>
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16	10	11	11
<span style="color: pink;">a</span>	<span style="color: blue;">b</span>	<span style="color: green;">c</span>	<span style="color: orange;">d</span>
<span style="color: blue;">b</span>	<span style="color: pink;">a</span>	<span style="color: orange;">d</span>	<span style="color: green;">c</span>
<span style="color: green;">c</span>	<span style="color: orange;">d</span>	<span style="color: pink;">a</span>	<span style="color: blue;">b</span>
<span style="color: orange;">d</span>	<span style="color: green;">c</span>	<span style="color: blue;">b</span>	<span style="color: pink;">a</span>

Then, a will be elected, a *surplus of three votes will be transferred to b*. So, b will be elected. One of the remaining two candidates will be selected at random.

So the winning committees are  $\{ \textcolor{pink}{a}, \textcolor{blue}{b}, \textcolor{green}{c} \}$  and  $\{ \textcolor{pink}{a}, \textcolor{blue}{b}, \textcolor{orange}{d} \}$ .